PATENT

Attorney Docket No.: 81940.0053

Customer No.: 26021

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Isamu KUROKAWA, et al.

Serial No.: 10/628,784

Filed:

July 28, 2003

For:

INFORMATION PROCESSING

SYSTEM

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sirs:

Confirmation	No.	5212	

Examiner: To Be Assigned

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450, on

July 28, 2004 Date of Deposit

Art Unit: 2127

Kimberly Yee

Name
No Company
Signature

Date

- 1. A The information disclosure statement submitted herewith is being filed within three months of the filing date of the application other than a continued prosecution application, or within three months of the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under §1.114, whichever event occurs last. 37 C.F.R. §1.97(b).
- 2. The information disclosure statement transmitted herewith is being filed after the period specified in §1.97(b), but before the mailing date of a final action under §1.113, or a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, whichever occurs first. A statement specified in §1.97(e) or a fee set forth in §1.17(p) is included. 37 C.F.R. §1.97(c).

## §1.97(e) STATEMENT

I, the person signing below, state:

that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

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OR

	3.0
	that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).
	OR FEE
	Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00). A copy of this petition is enclosed.
3.	The information disclosure statement transmitted herewith is being filed after the period specified in §1.97(c), but before, or simultaneously with the payment of the issue fee. A statement specified in §1.97(e) and a fee set forth in §1.17(p) are included. 37 C.F.R. §1.97(d).
	§1.97(e) STATEMENT
	I, the person signing below, state:
	that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).
	OR
	that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).
	AND FEE
	Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(d). (\$180.00).

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4.	$\boxtimes$	If it should be determined that for any reason either an insufficient fee or an
•		excessive has been paid, please charge any insufficiency or credit any overpayment
	•	necessary to ensure consideration of the information disclosure statement for the
		above-identified application to Deposit Account No. 50-1314. A copy of this
		petition is enclosed.

5. A list of 4 reference(s) is in the enclosed Form PTO-1449. Pursuant to 37 C.F.R. § 1.98(a) (2) (i), copies of the listed U.S. references are not provided since the requirement has been waived for U.S. patent applications filed after June 30, 2003.

## NON-ENGLISH LANGUAGE REFERENCES

has provided comments on the relevancy of any non-English language references cited in the search report.
The specification incorporates comments on the relevancy of Non-English language references.
Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references: N/A

By:

Respectfully submitted,

HOGAN & HARTSON L.L.P.

Date: July 28, 2004

Biltmore Tower 500 South Grand Avenue, Suite 1900

Los Angeles, CA 90071

Telephone: (213) 337-6700

Facsimile: (213) 337-6701

Sterlon R. Mason

Registration No. 41,179 Attorneys for Applicants

FORM PTO-1449			Docket Number 81940	Docket Number (Optional) 81940.0053		Application Number 10/628,784	
/O	MATION DISCLOSURE	Applicant	Applicant Isamu KUROKAWA, et al.				
AUG 0 2 2004 (Use several sheets if necessary)			Filing Date July 28	Filing Date July 28, 2003		Group Art Unit 2127	
TRATE TRAC		U.S. PATE	NT DOCUMENTS	3			
XAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	5,381,539	1-10-1995	Yanai et al.				
	5,537,568	7-16-1996	Yanai et al.				
	6,035,375	3-7-2000	Yanai et al.				
	6,721,870	4-13-2004	Yochai et al.				
						<i>y</i>	
	F	OREIGN PA	TENT DOCUMEN	NTS	<u> </u>		
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation YES N	
						1 1	
	OTHER DOCUME	NTS (Including	Author, Title, Date, Pe	ertinent Pages	, Etc.)	1	

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.